

**Universidade de Lisboa**

Faculdade de Farmácia



Phase Solubility and Kinetic Studies of Tacrolimus-Cyclodextrins  
Complexes for Future Application in the Treatment of Dry Eye Disease

Beatriz Maria Velez Alves

Mestrado Integrado em Ciências Farmacêuticas

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Monografia de Mestrado Integrado em Ciências Farmacêuticas apresentada à  
Universidade de Lisboa através da Faculdade de Farmácia

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## Resumo

A Síndrome do Olho Seco (Dry Eye Disease, DED) é prevalente em todo o mundo e é definida como “uma doença multifatorial da superfície ocular caracterizada pela perda da homeostasia do filme lacrimal, acompanhada por sintomas oculares. A instabilidade do filme lacrimal e a hiperosmolaridade, a inflamação da superfície ocular e dano na mesma e as anormalidades neurosensoriais têm um papel etiológico.” Alguns dos sintomas são vermelhidão, ardor, sensação de picada, visão turva e sensação de olhos cansados, isto tem um impacto negativo na vida quotidiana do doente. O DED pode ser controlado com lágrimas artificiais, no entanto, alguns doentes precisam de medicação anti-inflamatória, como o tacrolimus. As gotas oftálmicas (colírios) aquosas são a preferência do doente, mas enfrentam três grandes obstáculos: a solubilidade aquosa do fármaco, a rápida eliminação do líquido lacrimal pelo pestanejar e a permeação lenta através das diversas membranas do olho. Para além disso, o tacrolimus tem alguns problemas inerentes às suas características físico-químicas: baixa solubilidade em água e elevado peso molecular o que torna sua biodisponibilidade no olho baixa. É ainda, suscetível de hidrólise, consequentemente tem uma baixa estabilidade em soluções aquosas.

Neste trabalho, as ciclodextrinas (CDs) são apresentadas como uma solução para a formulação de colírios com tacrolimus para o tratamento de DED. As CDs oferecerem várias vantagens e são seguras quando usadas em doses permitidas para aplicação oftálmica. Para além das CDs aumentarem a solubilidade e a estabilidade do fármaco também diminuem possível irritação e o desconforto associado ao mesmo. As CDs têm o potencial para melhorar os colírios convencionais para o segmento anterior e posterior do olho, pois oferecem melhor permeabilidade, mais eficácia, segurança e estabilidade. Neste trabalho são feitos estudos preliminares sobre a complexação do tacrolimus com ciclodextrinas. Começando com estudos de solubilidade de fase com  $\alpha$ , HP $\gamma$ , RM $\beta$ -CDs para se perceber os efeitos que as CDs têm na solubilidade do tacrolimus e depois estudos de cinética para determinar os efeitos das CDs na estabilidade do tacrolimus, o tempo de meia vida ( $t_{1/2}$ ) e o tempo-prateleira ( $t_{90}$ ). Os resultados obtidos, juntamente com mais estudos que serão feitos à posteriori, levarão à formulação de um novo colírio com o tacrolimus complexado com CDs para aplicar no tratamento de DED.

**Keywords:** olho seco; tacrolimus; ciclodextrinas; estudos solubilidade de fase; estudos de cinética

## Abstract

Dry Eye Disease (DED) is prevalent worldwide and it is defined as “a multifactorial disease of the ocular surface characterized by a loss of homeostasis of the tear film, and accompanied by ocular symptoms, in which tear film instability and hyperosmolarity, ocular surface inflammation and damage, and neurosensory abnormalities play etiological roles”. Some of the symptoms are redness, burning, stinging, blurred vision and ocular fatigue. These have a negative impact on the patient’s daily life. Many patients can control the disease by just using a tear substitute, but others need anti-inflammatory agents, like tacrolimus.

Topical aqueous eye drops would be the patient preferred but face three major obstacles, aqueous solubility, rapid turnover rate of the tear fluid and slow drug permeation through the membrane barrier makes it difficult. Besides this problems tacrolimus also presents inherent difficulties. It has very poor water-solubility, a high molecular weight which makes it difficult to be bioavailable in the eye. Furthermore, tacrolimus is susceptible to hydrolysis, leading to low stability in aqueous solutions.

Here cyclodextrins (CDs) are present as a solution to the formulation of tacrolimus eye drops for the treatment of DED. CDs are a good solution because they offer several advantages and are safe when used in range of ocular permitted dose. CDs, apart from increasing solubility and stability of drug conceals the drug related irritation and discomfort. CDs have the potential to improve the conventional eye drops to offer better permeation, efficacy, safety and stability in the ophthalmic topical delivery of the anterior and posterior segments.

The preliminary studies of tacrolimus-CD complexes are explored in this work. Starting with the phase solubility studies with  $\alpha$ , HP $\gamma$ , RM $\beta$ -CDs to understand the effects that CDs can have on the tacrolimus solubility and then the kinetic studies to determine the effects of the CD in the stability of tacrolimus, the half-life ( $t_{1/2}$ ), and the shelf-life ( $t_{90}$ ). The results obtain, alongside with other studies that will be future done, will lead to a novel eye drop formulation of tacrolimus-CDs that can be applied in the treatment of DED.

**Keywords:** dry eye syndrome; tacrolimus; cyclodextrins; phase-solubility studies; kinetic studies

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To my family and my closest friends: Thank You! Millions of thanks, because one will never be good enough. Thank you for being always there when I needed, for giving me the strength to continue day after day. You are my rock and this work is also yours, you made it with me, without you I could never have done it. I will always be here for you too and I will be forever thankful for what you all done for me. Thank you and I adore you.

## List of Abbreviations

CD: Cyclodextrin

DED: Dry Eye Syndrome

DEWS: Dry Eye Workshop

HP $\beta$ CD: 2-Hydroxypropyl- $\beta$ -cyclodextrin

HP $\gamma$ CD: 2-Hydroxypropyl- $\gamma$ -cyclodextrin

IFN- $\gamma$ : Interferon-  $\gamma$

IL-1- IL-6, IL-23: Interleukin-1, Interleukin-6 and Interleukin-23

RM $\beta$ CD: randomly methylated  $\beta$ -cyclodextrin

TFOS: Tear Film and Ocular Surface Society (TFOS)

TNF- $\alpha$ : Tumor Necrosis Factor  $\alpha$

UHPLC: Ultra High-Performance Liquid Chromatography

VDT: Visual Display Terminals

$\alpha$ CD:  $\alpha$  cyclodextrin

$\beta$ CD:  $\beta$  cyclodextrin

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# Introduction

## Dry Eye Disease (DED)

Dry Eye Disease (DED) is prevalent worldwide and was defined by the Tear Film and Ocular Surface Society (TFOS) Dry Eye Workshop (DEWS) II, in 2017, as “a multifactorial disease of the ocular surface characterized by a loss of homeostasis of the tear film, and accompanied by ocular symptoms, in which tear film instability and hyperosmolarity, ocular surface inflammation and damage, and neurosensory abnormalities play etiological roles” (1).

Until 2007, when the first TFOS DEWS published the first definition of DED, there were variations in the definition of the disease, this as well as variations in the study of populations, geographical differences and differences in method caused large differences in prevalence figures (2), but population-based studies show a prevalence of symptomatic dry eye ranging from 6 to 52% (3). The symptoms of dry eye are: redness, burning, stinging, foreign body sensation, pruritus, photophobia, lacrimation, blurred vision and ocular fatigue. (2,4,5). These have a negative impact on the patient’s visual function and ability to perform daily visual tasks (6), like the capacity of the patient to read, to be at the computer or to drive reducing significantly the drivers reaction time (2). So DED diminish quality of life and work productivity (3).

The pathogenic mechanism remains unclear but is well known that inflammation has a very important role. DED is an inflammatory disease that has many features in common with autoimmune disease (2). Tear instability is accompanied by increased tear osmolarity, which activates stress signalling pathways in the ocular surface epithelium and resident immune cells and triggers production of innate inflammatory molecules, that lead to further decline in tear function and worse symptoms (4). Proinflammatory cytokines, chemokines, and matrix metalloproteinases are present and lead to the expansion of autoreactive T helper cells which infiltrate the ocular surface and lacrimal gland (2). Many of the mechanisms to maintain ocular surface and glandular homeostasis are disrupted in dry eye (4). DED is a vicious self-perpetuating cycle, that is initiate or amplify by the risk factors.

DED affects more women (70%) (menopause is often cited as an etiologic factor (7)) and people aged over 50 (8). As multifactorial disease has numerous risk factors that can be divided in extrinsic and intrinsic, being the most important: use of contact lenses,

extended times at the computer and in places with air conditioning, places with increased air pollution (people in metropolitan areas with high air pollution are 3 to 4 times more likely to have dry eyes as compared to rural areas) and increased atmospheric pressure, Sjögren's Syndrome (main disease that causes dry eye), arthritis, osteoporosis, allergies, thyroid disease, severe headaches or migraine in the previous three months, history of head injury and use of some medications (including antihistamines, paracetamol, benzodiazepines, antidepressants, and steroids). There is no association between a history of smoking or alcohol consumption and DED (8). As it is easily understandable by the risk factors, the sedentary occupations and jobs associated with VDT use show the highest prevalence of symptomatic dry eye and there is a lower risk in outdoor and active occupations, even when corrected for comorbidities and contact lens use. So, the association between the type of occupation and symptomatic dry eye is clear. This makes the occupation of the patient a very important part of the diagnosis of dry eye (3).

The correct diagnosis of dry eye disease is crucial so the proper treatment can be initiated, since the medication for the other diseases that are easily confused with DED by the similarity of the symptoms, like infections and allergies, can worsen the symptoms and prejudicate the prognosis (2). The first part of the diagnosis consists in standardized questionnaires in order to know the history of the patients and identify possible risk factors. After there are a number of tests that can be done like: biomicroscopy, Schirmer's test, breakup time of the tear film (BUT), vital dyes (lissamine green 2 %, rose bengal 1 %, fluorescein 1 %), test of the leaf fern, test of the corneal sensitivity, conjunctival impression cytology, optical coherence tomography (OCT), tear osmolarity measurement) (8).

The treatment is of extreme importance since untreated patients have risk of ocular infection, corneal ulceration and blindness (8). Since DED is an inflammatory multifactorial disease the treatments aimed to address all the factors: starting on inhibiting ocular surface inflammation and enhancing tear film stability, reducing tear osmolarity, maintaining corneal homeostasis and relieving ocular irritation (5). DED is a chronic disease, so treatment has to be long-term making the patient education a very important part to obtain good results (2). The patient should know that the first step of the algorithm of dry eye treatment is avoidance of risk factors, such as dry heating air, air conditioning and prolonged times in the computer without breaks. It is also important in the first step the identification of medication that can be causing DED so it can be altered or eliminated and added omega fatty acid supplementation, because they block proinflammatory

eicosanoids and reduce cytokines through anti-inflammatory activity (2), and ocular lubricants, lid hygiene and warm compresses (9). Ocular lubricants are solutions containing electrolyte, surfactants and viscosity agents, sodium hyaluronate solutions are considered the gold standard artificial tear treatment (7). They are the mainstay of therapy in all stages of DED, either alone (in mild-to-moderate disease) or in combination with other treatments (in moderate-to-severe disease) (6). Many patients can control the disease by just using tear substitute, but in some cases, the symptoms and complaints persist despite the proper use of the medication. These patients have a more severe stage of the disease so they need to advance in the algorithm of the treatment (8). This algorithm includes: topical cyclosporine and lifitegrast, although they better the symptoms, they are not effective in all patients, topical corticosteroids (fluorometholone, clobetasone, loteprednolol, and methylprednisolone) also shown efficacy in treating chronic dry eye and preventing irritation, however long-term use carries risk of cataract and glaucoma (4), oral tetracyclines (doxycycline and minocycline) are also used, but it has to be in a low dosage because of the gastrointestinal and skin adverse effects, topical macrolides (azithromycin) are part of the treatment too (2).

At the moment lifitegrast is the only drug approved by the FDA for treating the symptoms of DED (10), for patient with severe dry eye disease or patient who are intolerant to cyclosporine, other options are now being tested: new topical immunomodulators, such as tacrolimus, tofacitinib and IL 1 receptor inhibitor (8).

## Tacrolimus

Tacrolimus is a 23-membered macrolide lactone, originally isolated from the bacterium *Streptomyces tsukubaensis* (11). Tacrolimus binds to the immunophilin protein FKBP12 (FK-506 binding protein) forming a complex, this complex will inhibit the activation of calcineurin. The inhibition of calcineurin will lead to the inhibition of the transcription factor NF-AT, that regulates the production of proteins required for T cell activation and differentiation, thus T-cell activation will be reduced (11,12). Some of the inflammatory molecules inhibited are: IL-1, IL-6, IL-23, TNF- $\alpha$  and IFN- $\gamma$  and adhesion molecules, which are all present in the inflammatory vicious cycle of DED (14). Tacrolimus is 50-100 times more potent than cyclosporine A (15), another drug commonly used to treat DED.

Topical aqueous eye drops are the patient preferred (16), but the passive drug diffusion, the main form of transport of drugs in the eye, is hampered by three major obstacles: aqueous drug solubility, rapid turnover rate of the tear fluid and the consequent decrease in concentration of dissolved drug molecules and slow drug permeation through the membrane barrier (17). Only 2-5% of the applied dose is actually available for the intraocular tissues (18). Adding to this tacrolimus is a highly lipophilic drug ( $\log P=3.3$ ) and it has a very poor water-solubility of 1-2  $\mu\text{g/ml}$  making it difficult to permeate through the tear film (19) meaning it can't be transported from its site of administration to its site of action, so poor water-solubility results in poor bioavailability (13,14,16). Tacrolimus also has a relatively high molecular weight (804 g/mol) which complicates the transport across cornea and conjunctiva (14). All of these physico-chemical characteristics of tacrolimus alongside with physiological and anatomical constraints of the eye make it difficult to obtain therapeutic concentrations of tacrolimus in the intraocular regions of the eye (14). Furthermore, tacrolimus is susceptible to hydrolysis, leading to low stability in aqueous solutions (15).

No ophthalmic dosage formulations of tacrolimus are commercially available (11), they are being elaborated in the pharmacies of the hospital and they are prepared with vehicles with short retention time in corneal surface and consequently the need of frequent to obtain a sustainable benefit (13), so there is a need for formulations of tacrolimus in the market.

## Cyclodextrins

Cyclodextrins (CDs) are a group of structurally related natural products formed during bacterial digestion of cellulose (21). CDs are cyclic oligosaccharides consisting of ( $\alpha$ -1,4)-linked D-glucopyranose units.  $\alpha$ CD,  $\beta$ CD and  $\gamma$ CD are the most common natural CDs, they consist of 6, 7 and 8 D-glucopyranose units, respectively (22).

The natural CDs have derivatives, the most common are: hydroxypropyl- $\beta$ - and  $\gamma$ -CD, randomly methylated  $\beta$ -CD, and sulfobutylether  $\beta$ -CD, each bringing some improved properties such as enhanced aqueous solubility (10), since the aqueous solubility of the natural CD is limited (21). Both, natural CDs and their derivatives are used in pharmaceutical products (22).

The cyclic CD molecules are like truncated cones with the secondary hydroxy groups on the wider side and the primary hydroxy groups on the narrower side (23). The CD cavity creates a lipophilic environment in aqueous solutions where small lipophilic molecules and lipophilic moieties of larger ones can enter to form inclusion complexes. There are no covalent bonds formed or broken during the inclusion and the interactions responsible for complex formation are relatively weak non-covalent interactions such as van der Waals forces, hydrophobic interactions and hydrogen bonds (23).

In aqueous solutions the complexes are in a dynamic equilibrium with free guest and host molecules where the inclusion complexes are constantly being formed and dissociated with a half-life of few milliseconds or less (16). Addition of an excess amount of the drug to an aqueous CD solution, makes possible the formation of complexes in a solution. A suspension is formed that is maintained in equilibrium for periods of up to one week at the desired temperature, after is filtered or centrifuged to form a clear drug/CD complex solution. To obtain solid complexes, the water is removed by evaporation or sublimation e.g. spray drying or freeze-drying. There are other methods that can also be used like kneading and slurry methods, co-precipitation, neutralization, and grinding techniques (24).

Inclusion complexes formation have been studied by numerous of physicochemical methods (e.g. spectroscopic methods, fluorescence spectroscopy, circular dichroism spectroscopy) (22), the most widely used is the phase-solubility method described by Higuchi and Connors in 1965, which examines the effect of a solubilizer, i.e. CD or ligand, on the drug being solubilized, i.e. the substrate (25). In a phase-solubility study the drug (i.e. the substrate) solubility in moles/liter is plotted

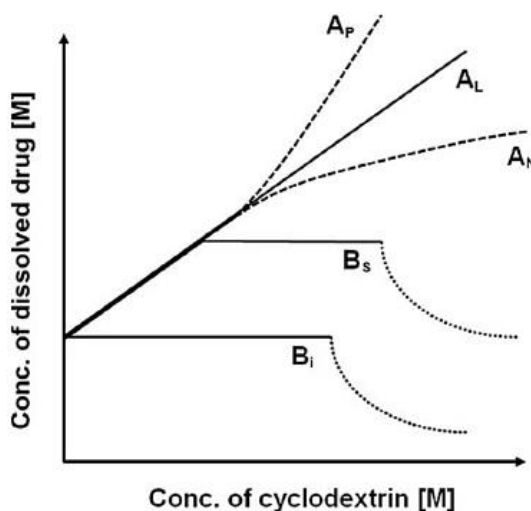
against the molar CD (i.e. the ligand) concentration. From the curve obtained is possible to understand the effects CDs has on the drug solubility and classified them in profiles (22).

Phase-solubility diagrams indicate the formation of soluble or poorly soluble complexes, according to that, they are categorized into A or B types:

- **A type curves:** soluble inclusion complexes, the solubility of the drug increases with increasing CD concentration.
  - **A<sub>L</sub>:** linear increases of drug solubility as a function of CD concentration, complex is first order with respect to the ligand and first or higher order with respect to the substrate that is formation of, for example, 1:1, 2:1 or 3:1 drug/CD complexes.
  - **A<sub>P</sub>:** positively deviating isotherms, the complex is first order with respect to the substrate, but second or higher order with respect to the ligand.
  - **A<sub>N</sub>:** negatively deviating isotherms, can be due to changes in the aqueous media.

The non-linearity of the A<sub>P</sub> and A<sub>N</sub>-type phase-solubility profiles can be due to changes in the drug/ CD complex stoichiometry, the drug/CD ratio increasing (A<sub>P</sub>-type) or decreasing (A<sub>N</sub>-type) with increasing CD concentration.

- **B type curves:** inclusion complexes with poor solubility.
  - **B<sub>S</sub>:** complexes with limited solubility.
  - **B<sub>I</sub>:** insoluble complexes.



- Figure 1: Phase-solubility profiles and classification of drug/cyclodextrin complexes according to Higuchi and Connors. This picture was taken from Jansook P et al: Cyclodextrins : structure , physicochemical properties and pharmaceutical applications (22)

In general, the water-soluble CD derivatives form A-type phase-solubility profiles whereas the less soluble natural CDs frequently form B-type profiles (22).

1:1 drug/CD complex (D/CD) is the most common type of CD complex. One drug molecule (D) forms a complex with one CD molecule (CD) (22):



From this equation, obtained from an A<sub>L</sub>-type phase-solubility diagram, is possible to calculate the Stability Constant of the complex (K<sub>1:1</sub>).

$$k_{1:1} = \frac{slope}{S_0(1 - slope)} \quad (2)$$

K<sub>1:1</sub> is calculated from the slope (less than unity, obtain from the diagram) and the Apparent Intrinsic Solubility (S<sub>0</sub>) of the drug in the aqueous complexation media, i.e. drug solubility when no CD is present.

When one additional CD molecule forms a complex with an existing 1:1 complex, it is obtained a 1:2 drug/complexes (one drug molecule forms a complex with two CD molecules). This is the stoichiometry of higher order more common. In this case the diagram is A<sub>P</sub>-type and the stoichiometry of the system is proved by curve fitting of the diagram with a quadratic model:

$$[S_t] - [S_0] = k_{1:1}[S_0][CD] + k_{1:1}k_{1:2}[S_0][CD]^2 \quad (3)$$

where [CD] is the concentration of free CD and [S<sub>t</sub>] is the total amount of free drug. [CD] is customary to plot the [S<sub>t</sub>] against the total amount of CD in solution [CD]<sub>t</sub>, it is assumed that the extent of complexation is low so [CD] ~ [CD]<sub>t</sub> (22).

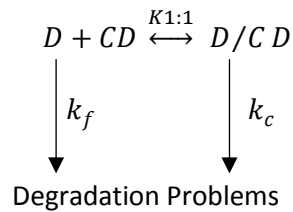
There are various factors that affect the formation of the inclusion complexes, among them are: the type of CD (the cavity size of CD should be suitable to accommodate the drug molecule), temperature (in most cases, higher the temperature decreases the magnitude of the apparent stability constant of the drug/CD complex result of possible reduction of drug/CD interaction forces), method of preparation which depends on the nature of the drug and CD (25). The dissociation of drug/CD complexes depends on the binding of drugs to precorneal proteins, absorption by corneal tissue, and displacement of drugs from CD complexes by precorneal fluid components. Since only the free drug



can permeate biological membranes, ophthalmic delivery of drugs can be limited by the dissociation of drug/CD complexes in the precorneal area due to the limited dilution in this area (25).

CDs increase drug solubility, dissolution, and drug permeability thus enhance the bioavailability of insoluble drugs (25), being a good option to use with tacrolimus. Furthermore, CDs can improve the stability of several labile drugs against dehydration, hydrolysis, oxidation and photodecomposition and thus increase the shelf life of drugs (25). Kinetic studies help understand the effect of CD complexation on the chemical stability of a drug, by calculating the observed first order rate constants it is possible to determine the extent of hydrolysis (23).

If 1:1 drug/CD complex is formed and if the drug degradation follows first-order kinetics both in the free form and within the complex then the following kinetic pathways are present (23):



where  $K_{1:1}$  is the equilibrium constant for the complex formation (sometimes referred to as the stability constant),  $k_f$  is the observed first-order rate constant for the degradation of the free drug (D) and  $k_c$  represents the observed first-order rate constant for the drug degradation within the complex (D/CD). The observed first-order rate constant ( $k_{obs}$ ) for the drug degradation in the aqueous complexation medium is the weighted average of  $k_f$  and  $k_c$

$$k_{obs} = \frac{k_f + k_c \cdot K_{1:1} \cdot [CD]_T}{1 + K_{1:1} \cdot [CD]_T} \quad (4)$$

where  $[CD]_T$  is the total concentration of dissolved CD in the aqueous complexation medium, assuming that the total CD concentration is much greater than the total drug concentration (i.e.  $[CD]_T \gg [D]_T$  and  $[CD] \approx [CD]_T$ ) (23). The value of  $k_f$  is determined in the complexation medium when no CD is present.  $K_{1:1}$  and  $k_c$  are then obtained by determining  $k_{obs}$  at fixed drug concentration with different CD concentrations and non-linear fitting of the values thus obtained to Eq. (4). Alternatively,  $K_{1:1}$  and  $k_c$  can be obtained through linear fitting such as Lineweaver-Burk plot (23):

$$\frac{1}{k_f - k_{obs}} = \frac{1}{k_{1:1}(k_f - k_c)} \cdot \frac{1}{[CD]_T} + \frac{1}{k_f - k_c} \quad (5)$$

Plot of  $(k_f - k_{obs})^{-1}$  versus  $([CD])^{-1}$  gives straight line from which  $k_c$  can be obtained from the intercept and  $K_{1:1}$  from the slope. In most cases, the complexation with CDs stabilizes the drug, but it can also happen that complexation is prejudicial for the stability of the drug. So, if  $k_c < k_f$  the CD complexation stabilizes the drug and increases the shelf-life of the drug product, if  $k_c > k_f$  the CD complexation accelerates the drug degradation (23).

For drug delivery into the eye be successful a delivery system must address all three obstacles: increase drug solubility in the aqueous tear film, increase the contact time of the drug with the eye surface and increase drug partition into and then drug permeation through the lipophilic membrane barriers (i.e. cornea and conjunctiva). CDs are a good option since they improve solubility of lipophilic drugs with limited solubility in aqueous formulations such as eye drops, reduce irritation after topical administration to the eye and enhance chemical stability of drugs in aqueous eye drop formulations (24) .

The work present here is part of an investigation project whose final goal is to obtain aqueous eye drop formulation with tacrolimus so it can be part of a better treatment in DED.

## **Materials and Methods**

### **Materials**

Tacrolimus for the validation was purchased from Sigma-Aldrich (St. Louis, MO) and tacrolimus for the other studies was purchased from Huichem (Shanghai, China). Alpha cyclodextrin ( $\alpha$ CD), Beta cyclodextrin ( $\beta$ CD), 2-Hydroxypropyl- $\beta$ -cyclodextrin (HP $\beta$ CD) and 2-Hydroxypropyl- $\gamma$ -cyclodextrin (HP $\gamma$ CD) were acquired to Janssen Pharmaceutica (Beerse, Belgium). The randomly methylated  $\beta$ -cyclodextrin (RM $\beta$ CD) was acquired from Wacker Fine Chemicals (Munich, Germany). Milli-Q water (Millipore, Billerica, MA) was used for preparation of all solutions and the mobile phase for UHPLC measurement. Citric acid used for the preparation of the pH 5 buffer was purchased from Fluka Analytical (Honeywell, Charlotte, EUA) and citrate dehydrate also used for the preparation of this buffer was purchased from Merck (Kenilworth, EUA). Carbonate and sodium bicarbonate used for the preparation of the pH 9 buffer were purchased from Fluorochem Ltd. (Derbyshire, United Kingdom). Acetonitrile and methanol were purchased from Honeywell (Charlotte, EUA). TFA was purchased from Sigma-Aldrich (St. Louis, MO). Syringe Filters PTFE with pore size of 0.45  $\mu$ m were purchased from Phenomenex (Cheshire, UK). The sonicate bath used was Branson 5800 from Branson Ultrasonics, the shaker KS-15 from Edmund Buhler GmbH, the pH meter was Orion 3 Star from Thermo Fisher Scientific and Dri-Block DB.3D heater from Techne.

### **Methods**

#### **Stability Studies**

Were performed in aqueous  $\beta$  CD solution of 2% (w/v) and in aqueous HP $\beta$  CD solution of 5% (w/v). 2 mg of tacrolimus were weighted into vials (6 vials) to which was added 5 mL of the cyclodextrin solution. The vials were then sonicated for 1h followed by pH measure. The pH should be between 4 and 6, because previous studies showed this is the interval where the drug is most stable, in cases where the pH was not in this interval it was adjusted with 0.1M of HCl or 0.1 M of NaOH. The vials were then put in the shaker: three vials for 7 days and other three for 1 day. After this time the solution was filtered and analysed for tacrolimus in duplicates by reverse-phase UHPLC method.

### **Phase Solubility Studies**

The phase solubility studies were performed in aqueous CDs solutions at various concentrations. For the  $\alpha$  CD the concentrations were: 0%, 1%, 2.5%, 5.0%, 7.5%, 10%, 12% (w/v). For the HP $\gamma$ CD were: 0%, 5%, 15%, 25%, 30%, 35%, 45% (w/v). For the RM $\beta$ CD were: 0%, 2.5%, 5%, 7.5%, 10%, 12.5%, 15% (w/v). 2 mg of tacrolimus were weighted into vials (21 vials, 3 for each CD concentration) to which was added 5 mL of the CD solution. The vials were then sonicated for 1h and followed by pH measure. The pH should be between 4 and 6, because previous studies showed this is the interval where the drug is most stable, in cases where the pH was not in this interval it was adjusted with 0.1M of HCl or 0.1 M of NaOH. The vials were put in the shaker for 24 h based on the results obtained from the degradation studies. After the solutions were filtered and then analysed for tacrolimus in triplicates by reversed phase UHPLC method.

### **Kinetic Studies**

The kinetic experiments were performed in aqueous CD buffer solutions with 2.5%, 5% and 7.5% (w/v)  $\alpha$ CD. The buffer systems used were 0.1 M citric acid / 0.1 M citrate dehydrate (pH 5) and 0.1 M carbonate / 0.1M sodium bicarbonate (pH 9). Triplicates of which CD concentration were heated in the Dri-Block® until 40 °C followed by the confirmation of the pH which should be the same as the buffer used to prepare the CD solution.

After this procedure 100  $\mu$ L of stock tacrolimus solution (2 mg/mL) were added to the 4900  $\mu$ L aqueous buffer solutions of CD previously heated. Samples of 250  $\mu$ L were taken to UHPLC vials and diluted with 500  $\mu$ L for the pH 5 and 250  $\mu$ L for the pH 9 of ACN:H<sub>2</sub>O (1:1) and the degradation rate was followed by monitoring the remaining drug concentration by reversed phase UHPLC method. For the pH 5 the first sample was taken 2 h after adding the tacrolimus then 22 h and 30 h after and then every 24 h until 120 h after the addition. For the pH 9 the first sample was taken 2 min after adding the tacrolimus, 5 min after and then every 5 min until 30 min after the addition.

## **UHPLC Analysis**

In all the studies performed a quantitative determination of tacrolimus was made by UHPLC analysis. This analysis was performed on a reversed phase ultra-high-performance liquid chromatographic component system from Thermo Fisher Scientific Vanquish HPLC system consisting of VF-P10-A pump, a VF-A10-A autosampler, a VWD-3100 UV-Vis detector and a Kinetex 1,7  $\mu\text{m}$  C18, 100 Å, 100 x 21 mm column (Phenomenex, UK). The mobile phase consisted of acetonitrile (60%) and 0.1% TFA (40%). The UV wavelength was 205 nm, column temperature was 50 °C, flow rate was 0.4 mL/min, sample injection was 25  $\mu\text{L}$  and the retention time was 3.4 min. 10% methanol and Milli-Q water were used to clean the UHPLC system. The method of analysis was previously validated.

## Results and Discussion

### Stability studies of Tacrolimus: One day vs Seven days

The stability studies of tacrolimus with  $\beta$  CD and HP $\beta$  CD were performed in order to choose which was the best CD to perform the phase solubility studies: if 7 days or 1 day in the shaker.

Table 1: Result of the area of the peaks for the  $\beta$  CD and the HP $\beta$  CD from UHPLC method for tacrolimus

Nº of samples	Area of the peaks (mAu*min)			
	$\beta$ CYD		HP- $\beta$ CYD	
	7 days	1 day	7 days	1 day
<b>1</b>				
<b>a</b>	19.616	22.550	19.837	25.664
<b>b</b>	19.972	22.873	24.594	26.029
<b>2</b>				
<b>a</b>	49.625	22.591	23.685	26.776
<b>b</b>	22.830	23.040	23.908	26.534
<b>3</b>				
<b>a</b>	51.737	25.746	24.344	24.948
<b>b</b>	54.015	26.206	22.804	25.246

Table 2: Concentration of tacrolimus ( $\mu\text{g/mL}$ ) obtained from the area of the peaks (table 9)

Nº of samples	Concentration of tacrolimus ( $\mu\text{g/mL}$ )			
	$\beta$ CYD		HP- $\beta$ CYD	
	7 days	1 day	7 days	1 day
<b>1</b>				
<b>a</b>	20.993	24.124	21.228	27.447
<b>b</b>	21.373	24.468	26.244	27.837
<b>2</b>				
<b>a</b>	53.016	24.167	25.335	28.634
<b>b</b>	24.423	24.647	25.513	28.375
<b>3</b>				
<b>a</b>	55.270	27.535	26.038	26.683
<b>b</b>	57.701	28.026	24.334	27.001

The concentrations of tacrolimus are higher in the 1 day in the shaker when compared with the concentration from the 7 days, showing that tacrolimus remains stable for 24 h.

For this reason, the phase solubility studies were done with tacrolimus on aqueous solution with CD in the shaker for 24 h to obtain complexes.

## **Phase Solubility Studies**

The phase solubility studies serve to study the inclusion complexation of the tacrolimus with the CDs and show the solubilizing ability of the CD and the stability constant of the complexes formed. The phase solubility studies were done with three CDs:  $\alpha$ CD, HP $\gamma$ CD, RM $\beta$ CD. The correlation coefficient squared values ( $R^2$ ) for the solubility curves were calculated to distinguish between  $A_P$  and  $A_L$  types. The solubility curve with  $R^2$  values  $>0.990$  was regarded as a straight line ( $A_L$  type) and that with  $R^2$  values  $<0.990$  was regarded as a positively deviated curve ( $A_P$  type) (26).

## $\alpha$ -cyclodextrin

Table 3: Concentration of alpha-CD and tacrolimus in mM

$\alpha$ CYD	Concentration of $\alpha$ CYD (mM)	Concentration of tacrolimus (mM)
0.0%	0.000	0.0017
1.0%	10.280	0.0034
2.5%	25.699	0.0085
5.0%	51.398	0.0146
7.5%	77.097	0.0223
10.0%	102.796	0.0320
12.0%	123.355	0.0358

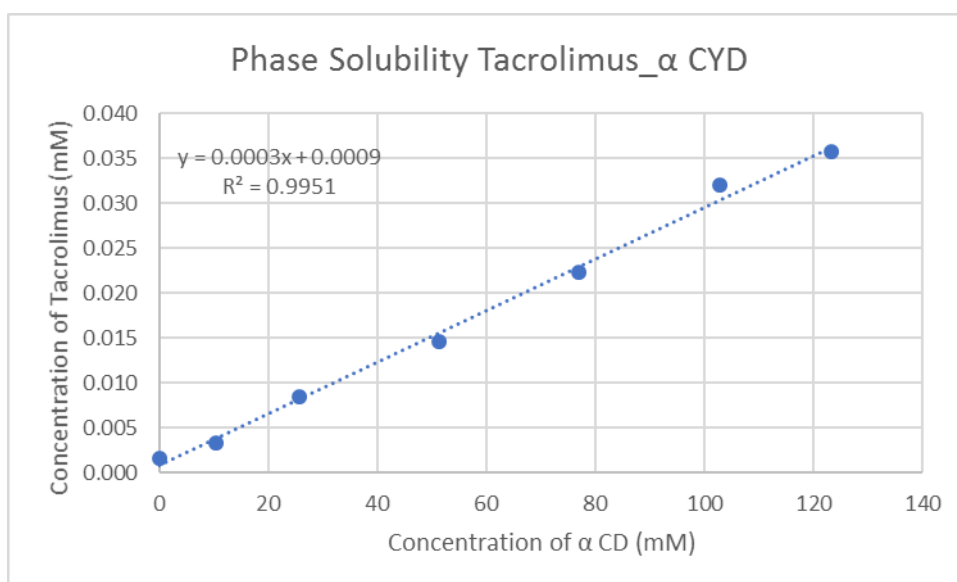


Figure 2: Solubility phase curve of the alpha-CD with tacrolimus

This curve gave a  $R^2$  of 0.9951 so it was considered as  $A_L$  type and from this graphic was possible to calculate the  $K_{1:1}$  value:

$$K_{1:1} = \frac{\text{slope}}{S_0(1-\text{slope})} = \frac{0.0003}{0.0009(1-0.0003)} = 0.3334 \text{ mM}^{-1}$$



## HP- $\gamma$ -cyclodextrin

Table 4: Concentration of HPgamma-CD and tacrolimus in mM

HP $\gamma$ CYD	Concentration of HP $\beta$ CYD (mM)	Concentration of tacrolimus (mM)
0.0%	0.000	0.001
5.0%	22.957	0.003
15.0%	68.871	0.009
25.0%	114.784	0.016
30.0%	137.741	0.017
35.0%	160.698	0.022
45.0%	206.612	0.027

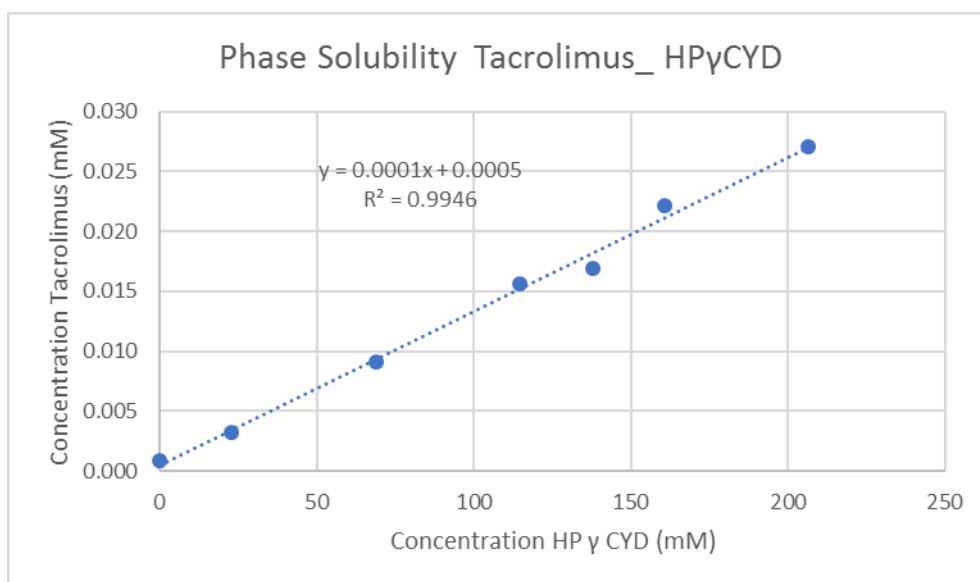


Figure 3: Solubility phase curve of the HP-gamma-CD with tacrolimus

This curve gave a  $R^2$  of 0.9946 so it was considered as  $A_L$  type and from this graphic was possible to calculate the  $K_{1:1}$  value:

$$K_{1:1} = \frac{\text{slope}}{S_0(1-\text{slope})} = \frac{0.0001}{0.0005(1-0.0001)} = 0.2000 \text{ mM}^{-1}$$

## RMβ-cyclodextrin

Table 5: Concentration of RMbeta-CD and tacrolimus in mM

RM β CYD	Concentration of RM β CYD (mM)	Concentration of tacrolimus (mM)
0.0%	0.000	0.002
2.5%	19.055	0.038
5.0%	38.110	0.096
7.5%	57.165	0.114
10.0%	76.220	0.202
12.5%	95.274	0.234
15.0%	114.329	0.305

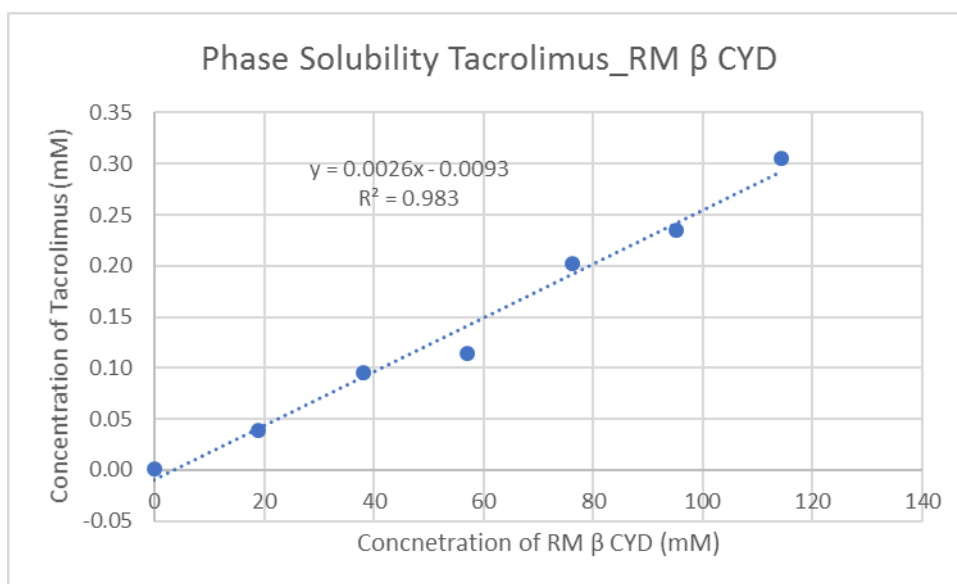


Figure 4: Solubility phase curve of the RM-beta-CD with tacrolimus

Since this curve gave a  $R^2$  of 0.9886 it was considered  $A_P$  type and it was analysed according to the optimization technique to obtain the stability constants of higher-order complexes ( $K_{1:n}$ ). Therefore, the solubility curve was analysed according to both 1:1 and 1:2 models (26):

$$[St] = K_{1:1} [S_0][CD] + K_{1:1}K_{1:2} [S_0][CD]^2 + [S_0]$$

$$S_0: 0.0017$$

$$K_{1:1} = 1.1176 \text{ mM}^{-1}$$

$$K_{1:1}[S_0]: 0.0019$$

$$K_{1:2} = 0.0032 \text{ mM}^{-1}$$

$$K_{1:1}K_{1:2}[S_0]: 0.000006$$

## Comparison between $\alpha$ , HP $\gamma$ , RM $\beta$

Table 6: Values of  $K_{1:1}$  and  $K_{1:2}$  for the different CDs complexes

CD	$K_{1:1}$ ( $M^{-1}$ )	$K_{1:2}$ ( $M^{-1}$ )
$\alpha$	333.43	----
HP $\gamma$	200.00	---
RM $\beta$	1117.65	3.20

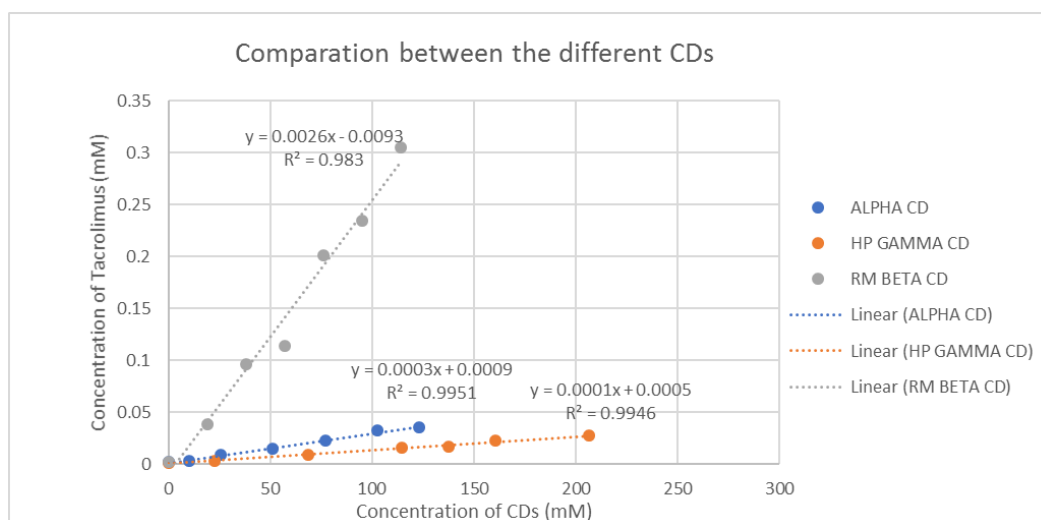


Figure 5: Curves of the difference CDs for comparison

In aqueous media tacrolimus molecules bound in a CD complex are in dynamic equilibrium with unbound molecules, and tacrolimus/CD complexes are constantly being disassembled and formed again. The  $K_{1:1}$  values for lipophilic and poorly water-soluble drugs, like tacrolimus, are normally within the range  $10^2$  to  $10^3 M^{-1}$  (22). This is confirmed by the results obtained. Although the CD derivatives have better solubilizing potential when compared with natural CDs, since the attachment of the substituents disrupts the regular hydrogen bonding network increasing their ability to interact with the surrounding water molecules resulting in an increasing aqueous solubility (27), here HP  $\gamma$  CD has a low  $K_{1:1}$  ( $M^{-1}$ ). This may be due to steric hindrance of the substituent groups because tacrolimus is a rather voluminous molecule (26).

The low value for the  $\alpha$  CD may be because natural CDs have tendency to self-assemble in aqueous solutions to form aggregates (27). At more elevated concentrations of CD these aggregates can become large and precipitate as solid microparticles, reducing the formation of complexes with tacrolimus. It can also be because the cavity of  $\alpha$  CD is small (Cavity diameter ( $\text{\AA}$ ) 4.7–5.3) (27) when compared to the others CD present here for a large molecule like tacrolimus.

RM  $\beta$  CD had the higher  $K_{1:1}$  when compared with both  $\alpha$  CD and HP  $\gamma$  CD. And the values of  $K_{1:1}$  are markedly higher than  $K_{1:2}$ , so the 1:1 complex appears to be more stable. The RM  $\beta$  CD has the greatest solubilizing activity through the formation of a stable complex in a molar ratio of 1:1(26). This means that the cavity of the RM  $\beta$  CD fits the tacrolimus molecule better than the other CDs, so RM  $\beta$  CD has the capacity to enhanced the solubility of tacrolimus (25). Although others studies also suggest the capacity of  $\alpha$  CD to increase the intrinsic solubility of tacrolimus (28).

## Kinetic Studies

The kinetics studies aimed to compare the degradation of tacrolimus with different CDs, to see which one would stabilize tacrolimus more. The results present in this work correspond to the evaluation of  $\alpha$  CD. Through the equation (eq. 4) present in the kinetic introduction to calculate de degradation rate constants at different pH ( $k_{\text{obs}}$ ), as well as the values of  $k_f$  and  $k_c$ . By establishing the relationship between them it is possible to know if the hydrolysis of tacrolimus in the chosen pH (5 and 9) is faster or slower when complexed with CD or when tacrolimus is as a free drug.

The pH 5 and the pH 9 were chosen because they represent an acid medium and a basic medium. Previous studies, found that degradation of tacrolimus is pH dependent and is facilitated at higher pH values (11) this justified the time for sampling. Since the degradation at the pH 9 is faster the sampling time was shorter.

## For pH 5

To obtain the value of  $k_{obs}$  it is necessary to get the graphs of time vs  $\ln$  concentration tacrolimus for the different concentrations of  $\alpha$  CD (fig. 5-7)

Table 7: Time and  $\ln$  of the concentration of tacrolimus, pH 5

Time (h)	Concentration of Tacrolimus ( $\mu\text{g/mL}$ )			$\ln$ (conc. of tacrolimus)		
	2.5% (w/V) $\alpha$ CD	5% (w/V) $\alpha$ CD	7.5% (w/V) $\alpha$ CD	2.5% (w/V) $\alpha$ CD	5% (w/V) $\alpha$ CD	7.5% (w/V) $\alpha$ CD
<b>2.00</b>	35.415	33.707	34.377	3.567	3.518	3.537
<b>22.50</b>	31.888	30.969	31.171	3.462	3.433	3.439
<b>30.00</b>	17.146	28.528	28.712	2.842	3.351	3.357
<b>48.00</b>	23.458	23.028	26.343	3.155	3.137	3.271
<b>72.00</b>	19.941	19.082	24.757	2.993	2.949	3.209
<b>96.00</b>	14.451	17.261	20.924	2.671	2.848	3.041
<b>120.00</b>	13.784	13.510	17.969	2.623	2.603	2.888

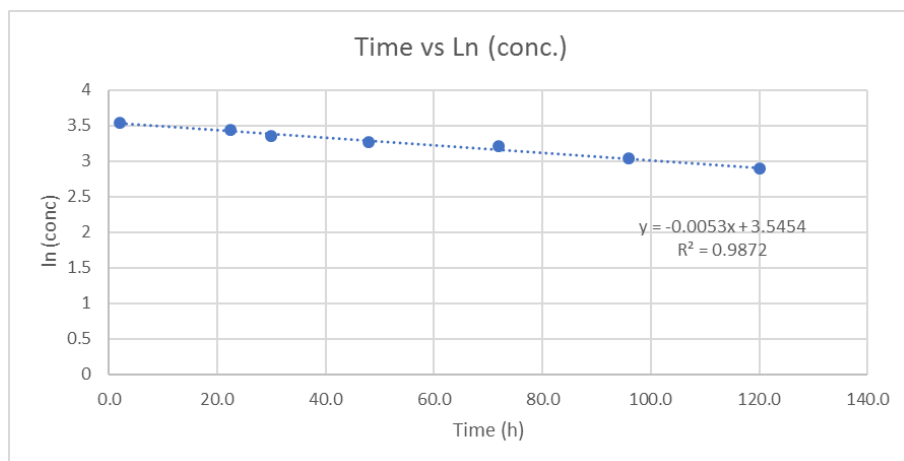


Figure 6: Curve of time vs  $\ln$  [tacrolimus] for a 2.5% (%w/v) alpha CD, pH 5

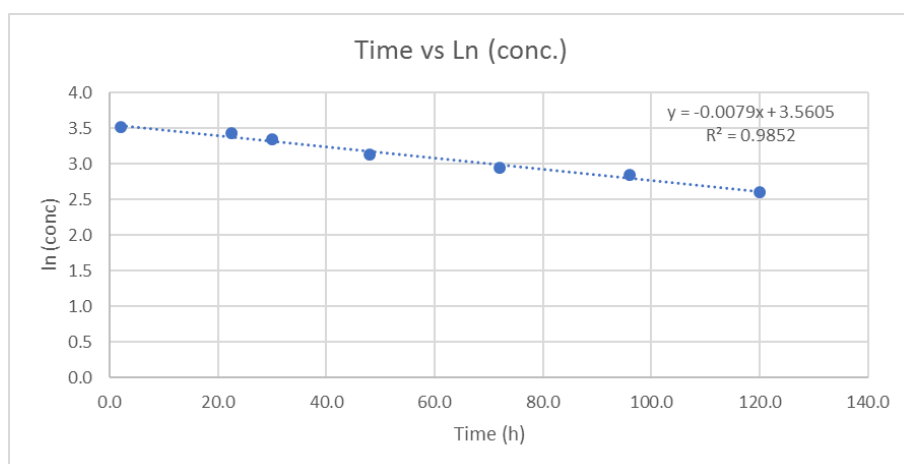


Figure 7: Curve of time vs  $\ln$  [tacrolimus] for a 5% (%w/v) alpha CD, pH 5

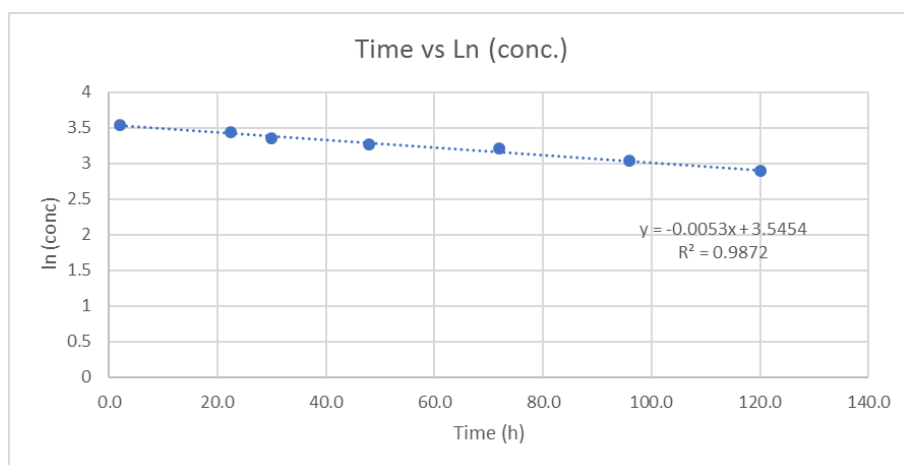


Figure 8: Curve of time vs  $\ln$  [tacrolimus] for a 7.5% (%w/v) alpha CD, pH 5

Applying the equation 10 the value of  $K_{obs}$  it is taken from the graphic equation, being  $K_{obs}$  the slope.

$$\ln(conc.) = -K_{obs} \cdot t + \ln(conc.)_0 \quad (6)$$

Table 8: Value of  $K_{obs}$  for the different % (w/v) of CD, pH 5

$\alpha$ CD conc. (%w/v)	$K_{obs}$ ( $h^{-1}$ )
2.5	0.0087
5	0.0079
7.5	0.0053

With the values of  $K_{obs}$  the graph of  $\alpha$  CD vs  $K_{obs}$  was obtained (fig.11)

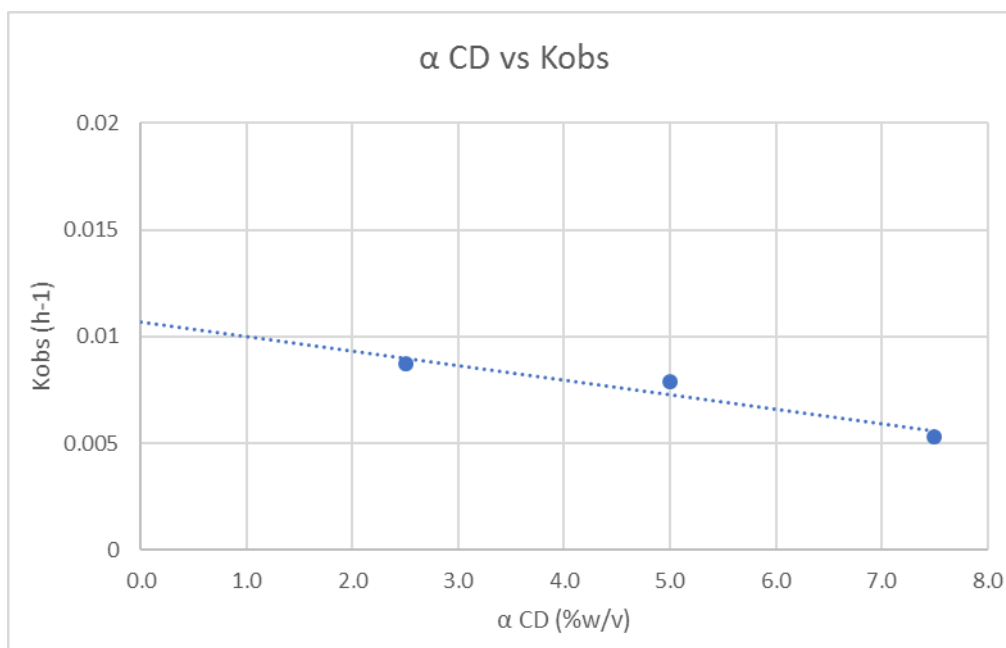


Figure 9: Graph of alpha CD (%w/v) vs  $K_{obs}$  ( $h^{-1}$ ), pH 5

From this graphic it is perceptible that at the pH 5, the addition of  $\alpha$  CD to the reaction medium decreases the observed degradation rate. The value of  $k_f$  is  $0.0107 h^{-1}$ , from this value is possible to plot a graph of  $\alpha$  CD vs  $\frac{1}{k_f - k_{obs}}$ .

Table 9: Values of  $K_{obs}$ ,  $K_f$  and  $1/(k_f - k_{obs})$ , pH 5

$\alpha$ CD conc. (%w/v)	$\alpha$ CD conc. ( $M^{-1}$ )	$K_{obs}$ ( $h^{-1}$ )	$K_f$ ( $h^{-1}$ )	$1/(K_f - K_{obs})$
2.5	38.912	0.0087	0.0107	500.00
5	19.456	0.0079	0.0107	357.14
7.5	12.971	0.0053	0.0107	185.19

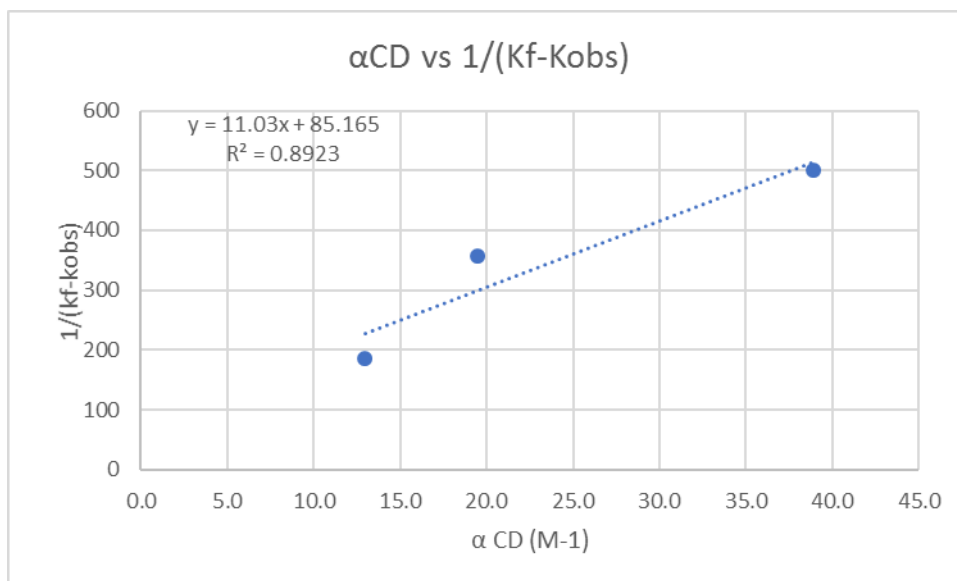


Figure 10: Graphic of alpha CD (M-1) vs 1/(kf-kobs), pH 5

This graph enables the calculation of  $K_{1:1}$  and  $k_c$ :

$$K_{1:1} = \frac{\text{intercept}}{\text{slope}} = 7.7212 \text{ M}^{-1}$$

$$\text{Intercept} = \frac{1}{K_f - K_c} \rightarrow K_c = 0.001042 \text{ h}^{-1}$$

### For pH 9

To obtain the value of  $k_{\text{obs}}$  it is necessary to get the graphs of time vs ln concentration tacrolimus for the different concentrations of  $\alpha$  CD (fig. 10-12)

Table 10: Time and Ln of the concentration of tacrolimus, pH 9

Time (h)	Concentration of Tacrolimus (μg/mL)			ln (conc. of tacrolimus)		
	2.5% (w/V) α CD	5% (w/V) α CD	7.5% (w/V) α CD	2.5% (w/V) α CD	5% (w/V) α CD	7.5% (w/V) α CD
0.03	33.535	30.457	29.774	3.513	3.416	3.394
0.08	31.710	29.732	29.610	3.457	3.392	3.388
0.17	28.162	26.626	26.455	3.338	3.282	3.275
0.25	25.178	24.910	24.533	3.226	3.215	3.200
0.33	23.002	22.191	22.727	3.136	3.100	3.124
0.42	20.432	20.197	19.998	3.017	3.006	2.996
0.50	18.423	18.310	18.038	2.914	2.907	2.892



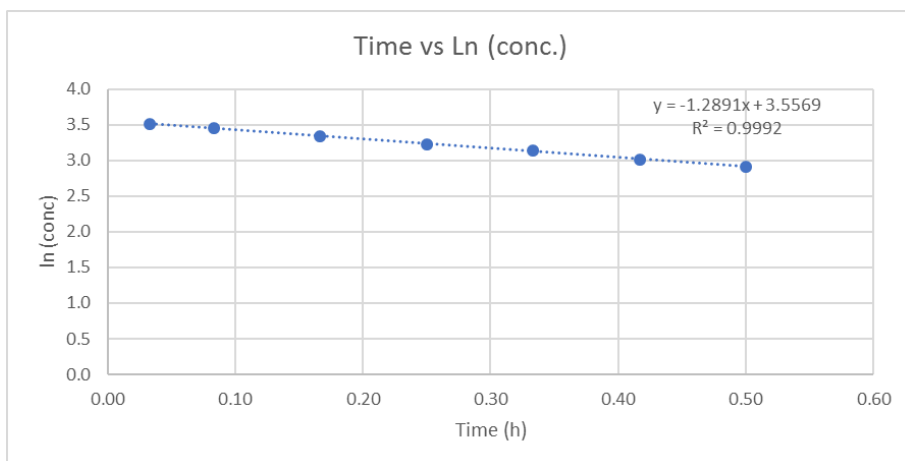


Figure 11: Curve of time vs  $\ln$  [tacrolimus] for a 2.5% (w/v) alpha CD, pH 9

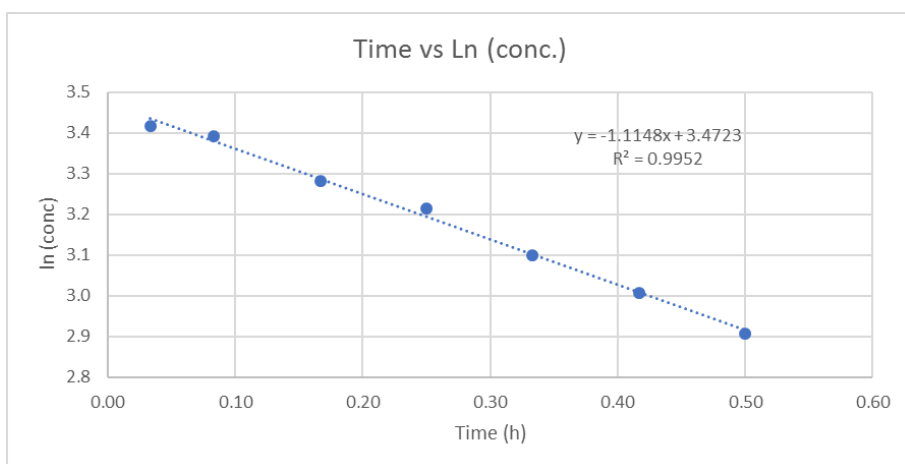


Figure 12: Curve of time vs  $\ln$  [tacrolimus] for a 5% (w/v) alpha CD, pH 9

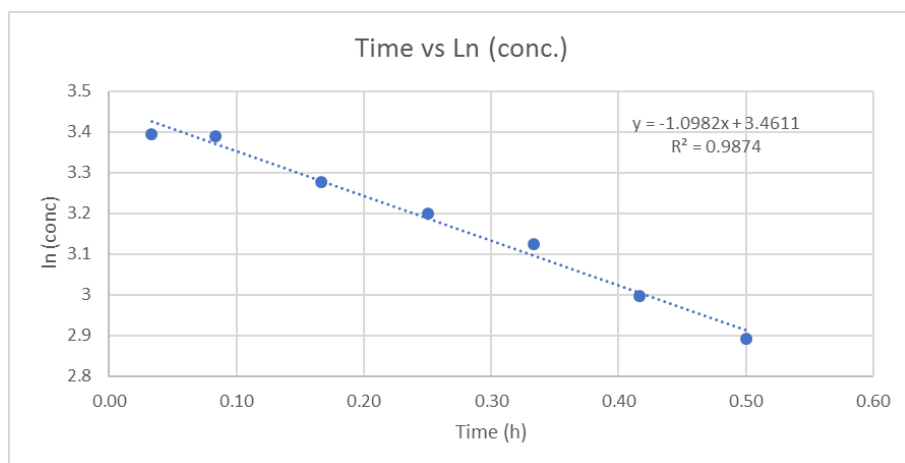


Figure 113: Curve of time vs  $\ln$  [tacrolimus] for a 7.5% (w/v) alpha CD, pH 9

Applying the equation 10 the value of  $K_{obs}$  it is taken from the graphic equation, being  $K_{obs}$  the slope.

$$\ln(conc.) = -K_{obs} \cdot t + \ln(conc.)_0 \quad (10)$$

Table 11: Value of  $K_{obs}$  for the different % (w/v) of CD

$\alpha$ CD conc. (%w/v)	$K_{obs} (h^{-1})$
2.5	1.289
5	1.115
7.5	1.098

With the values of  $K_{obs}$  is done the graph  $\alpha$  CD vs  $K_{obs}$ : (fig.13)

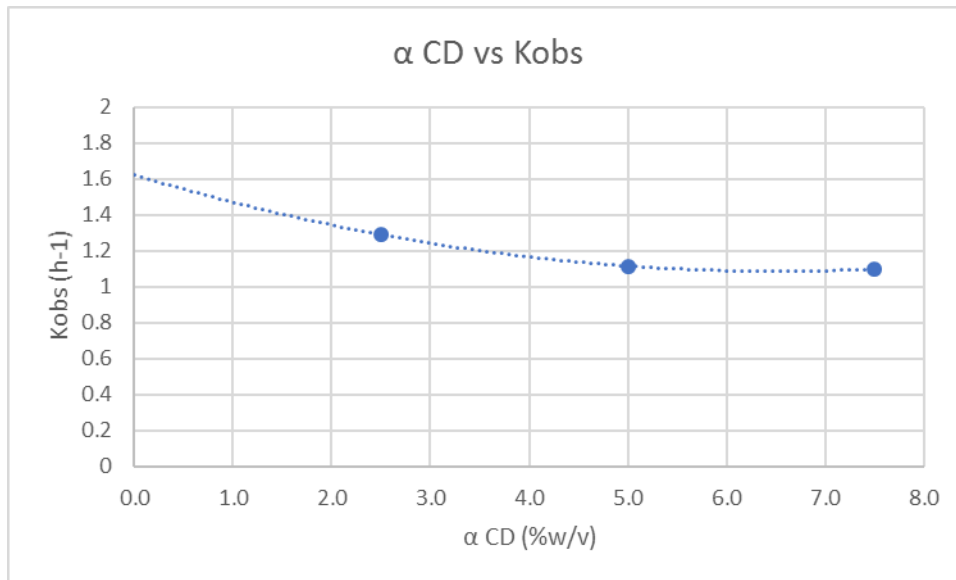


Figure 14: Graph of alpha CD (%w/v) vs  $K_{obs} (h^{-1})$ , pH 9

From this graphic it is perceptible that at the pH 9, the addition of  $\alpha$  CD to the reaction medium also decreases the observed degradation rate. The value of  $k_f$  is  $1.62h^{-1}$ , from this value is possible to plot a graph of  $\alpha$  CD vs  $\frac{1}{k_f - k_{obs}}$ .

Table 102: Values of  $K_{obs}$ ,  $K_f$  and  $1/(k_f - k_{obs})$

$\alpha$ CD conc. (%w/v)	$\alpha$ CD conc. ( $M^{-1}$ )	$k_{obs} (h^{-1})$	$K_f (h^{-1})$	$1/(k_f - k_{obs})$
2.5	38.912	1.289	1.62	3.022
5	19.456	1.115	1.62	1.979
7.5	12.971	1.098	1.62	1.916

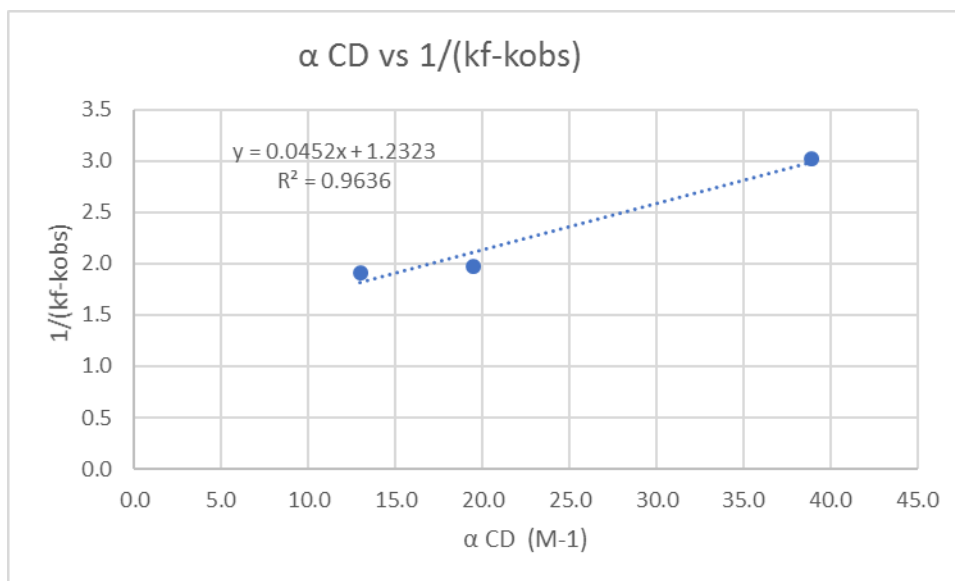


Figure 15: Graph of alpha CD (M-1) vs 1/(kf-kobs), pH 9

This graph enables the calculation of  $K_{1:1}$  and  $k_c$ :

$$K_{1:1} = \frac{\text{intercept}}{\text{slope}} = 27.263 \text{ M}^{-1}$$

$$\text{Intercept} = \frac{1}{K_f - K_c} \rightarrow K_c = 0.4065 \text{ h}^{-1}$$

### Comparison between the pH 5 and pH 9, half-life and shelf-life

At the pH 5,  $k_f$  is  $0.0107 \text{ h}^{-1}$  and  $k_c$  is  $0.001042 \text{ h}^{-1}$  and at the pH 9,  $k_f$  is  $1.62 \text{ h}^{-1}$  and  $k_c$  is  $0.4065 \text{ h}^{-1}$ , so in both cases  $k_c < k_f$  meaning hydrolyses of tacrolimus molecules bound to α CD happens at a slower rate than free tacrolimus molecules (29). Since,  $k_c < k_f$  the CD complexation stabilizes the drug and increases shelf-life ( $t_{90}$ ) of tacrolimus (23).

At pH 5, the  $k_c / k_f$  ratio is 0.097 (i.e.  $k_f / k_c$  ratio is 10.27) indicating that free tacrolimus molecules are hydrolysed about 10.27 faster in the solution than within the complex. At pH 9, the ratio  $k_c / k_f$  is 0.25 (i.e.  $k_f / k_c$  ratio is 3.98) indicating that tacrolimus is hydrolysed about 0.25 times faster in the form of complex than as free drug in the solution (29). Since the hydrolysis of tacrolimus encapsulated in CDs is slower than free tacrolimus the stability of the drug/CD complex, i.e. the magnitude of the complex stability constant, also plays a significant role in determining the extent of protection (25).

With first-order reactions is possible to calculate the half-life ( $t_{1/2}$ ), and the shelf-life ( $t_{90}$ ) which are independent of the initial drug concentration (30). The half-life ( $t_{1/2}$ ) is the time it takes for the initial concentration of the drug to be reduce to half and the shelf-life ( $t_{90}$ ) is the time necessary for the drug to decay to 90% of its original concentration, during this time if the product is stored appropriately will retain suitable for use (30).

$$t_{1/2} = \frac{\ln 2}{k_1} \quad (11)$$

$$t_{90} = \frac{0.105}{k_1} \quad (12)$$

Table 113: Half-life and shelf-life, of tacrolimus at pH 5

pH 5	Different % of CD that complexed with tacrolimus		
	2.5% (w/V) $\alpha$ CD	5% (w/V) $\alpha$ CD	7.5% (w/V) $\alpha$ CD
$\ln[\text{drug}]_0$	3.6017	3.5605	3.5454
$\text{slope} = -k_1$	-0.0087 h <sup>-1</sup>	-0.0079 h <sup>-1</sup>	-0.0053 h <sup>-1</sup>
First-order rate constant = $k_1$	0.0087 h <sup>-1</sup>	0.0079 h <sup>-1</sup>	0.0053 h <sup>-1</sup>
$t_{1/2} = \frac{\ln 2}{k_1}$	79.672 h	87.740 h	130.782 h
$t_{90} = \frac{0.105}{k_1}$	12.068 h	13.291 h	19.811 h

Table 14: Half-life and shelf-life of tacrolimus at pH 9

pH 9	Different % of CD that complexed with tacrolimus		
	2.5% (w/V) $\alpha$ CD	5% (w/V) $\alpha$ CD	7.5% (w/V) $\alpha$ CD
$\ln[drug]_0$	3.5569	3.4723	3.4611
$slope = -k_1$	-1.2891 h <sup>-1</sup>	-1.1148 h <sup>-1</sup>	-1.0982 h <sup>-1</sup>
First-order rate constant = $k_1$	1.2891 h <sup>-1</sup>	1.1148 h <sup>-1</sup>	1.0982 h <sup>-1</sup>
$t_{1/2} = \frac{\ln 2}{k_1}$	0.5377 h	0.622 h	0.6311 h
$t_{90} = \frac{0.105}{k_1}$	0.0815 h	0.0942 h	0.0956 h

The shelf-life ( $t_{90}$ ) of tacrolimus is bigger when in a higher percentage of  $\alpha$  CD. From these tables is also noticeable that the half-life ( $t_{1/2}$ ) and the shelf-life ( $t_{90}$ ) are bigger in the pH 5, which is in agreement with previous studies (11), meaning tacrolimus is more stable in acid pH. This information is useful for future formulation purposes.

## Conclusion

CDs can be used to overcome the three major constraints of topical ocular delivery, they can enhance the solubility of the poorly soluble and wetttable drugs, increase their retention and permeation at the ocular surfaces (19). There are other systems for ocular delivery made to enhance the bioavailability of topically applied ophthalmic drugs, like hydrogels, microemulsions, solid inserts and liposomes, yet they are not very used due to both their side-effects (such as blurred vision and local irritation) and their instability (i.e. limited shelf-life) (25). The increased drug efficacy and potency (i.e. reduction of the dose required for optimum therapeutic activity), caused by CD increased drug solubility, may reduce drug toxicity by making the drug effective at lower doses (25).

Having all this in mind, the applications of CDs in aqueous eye drop preparations include not only the solubilization and chemical stabilization of drugs, but also the reduction of ocular drug irritation, and enhancement of ocular drug permeability (25), making them a good option to use with tacrolimus.

There are some considerations to have in mind when preparing CD complexes for eye drops formulations. One is that conventional penetration enhancers enhance drug permeation from both aqueous and non-aqueous media while CDs are only able to enhance permeation of relatively lipophilic drug molecules that have limited solubility in water and then only from aqueous media (16). The second one is that the quantity of CD added will affect the optimum drug availability, since at low CD concentrations, when the drug is in suspension, the flux of the drug increases with increasing CD concentration (21). At higher CD concentrations, when all drug is in solution, the flux decreases with increasing cyclodextrin concentration (21). The maximum permeability is observed when just enough CD is added to the vehicle to solubilize the entire drug, so to optimize the tacrolimus release from an aqueous eye drop formulation it is mandatory to adjust its CD concentration (21). The third is the excipients used in the formulation since some of the ingredients will compete with the drug, in this case with tacrolimus, for a space into the CD cavity, thereby reducing the solubilizing effect of the CD (21). At the same time, some other ingredients may have a solubilizing effect on tacrolimus, thereby reducing the amount of CD needed to solubilize the drug (21). This means that the results obtain in this study are useful, nevertheless the amount of CD included in the aqueous eye drop

formulation has to be decided based on availability studies performed on the actual eye drop formulation which must contain all necessary excipients (e.g. preservatives, polymers and buffer salts) (21). The fourth is the CD toxicity to the eye. It is observed that parent CDs with limited water aqueous solubility i.e.  $\alpha$ -CD,  $\beta$ -CD and  $\gamma$ -CD have significant toxic effect upon ocular delivery (16).

The cytotoxicity order of CDs on the human corneal cell line was found to be  $\alpha$ -CD > DM- $\beta$ CD > SBE- $\beta$ -CD=HP- $\beta$ -CD >  $\gamma$ -CD (25). The toxicity is attributed to the potential role in extracting phospholipids from the biological membrane (19). It was reported that CDs, because of their ability to remove cholesterol, may increase membrane fluidity and induce membrane invagination through a loss of bending resistance and cause cell lysis (25). The permeation enhancing property of the CDs is reported to be expedited by damaging the corneal epithelium (19). But there are reports that say the perturbing effects of CDs can be mild and reversible (25) and studies that show that although with  $\beta$ -CD samples of the cornea showed some epithelial disruption, which became more noticeable with longer exposure time, no extraction of cholesterol was observed when the corneas were exposed to  $\alpha$ -CD and  $\gamma$ -CD (10), there are also studies about ocular irritation and toxicity on rabbits showing that solutions of 10% SBE- $\beta$ -CD and 12.5% HP- $\beta$ -CD are safe and have no ocular irritation or toxicity (19). There is even a chloramphenicol – DM- $\beta$ -CD complex eye drop formulation, present in the market for more than 20 years (Clorocil®) (Pat. Port. n.º 101.446). All of this makes the toxicity a question of testing which one is the best for the formulation in mind.

After the phase solubility studies and the kinetic studies performed in this work, studies in Franz Cells should be done. There are a widely used methodology to evaluate in vitro drug permeation (31) and in a more final phase of the formulation process in vivo studies would also be required.

Through proper analysis of the permeation barriers from the surface to the anterior segment and posterior segment of the eye, and by applying the basic principles of physical pharmacy, that include the formation of CDs complexes with tacrolimus it will be possible to design aqueous eye drops that are able to deliver significant amounts of drug to both the posterior segment and the anterior segment of the eye (19). There are already studies that report the preparations of tacrolimus eye drop (0.05%) using CDs (28). Hopefully, after all the studies of this investigation project being complete a novel eye drop formulation with tacrolimus-CD complexes will be prepared which will be used in the treatment of the DES.

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## Annexes

### Annexe 1: Calibration curve for $\alpha$ -CD for the phase solubility studies

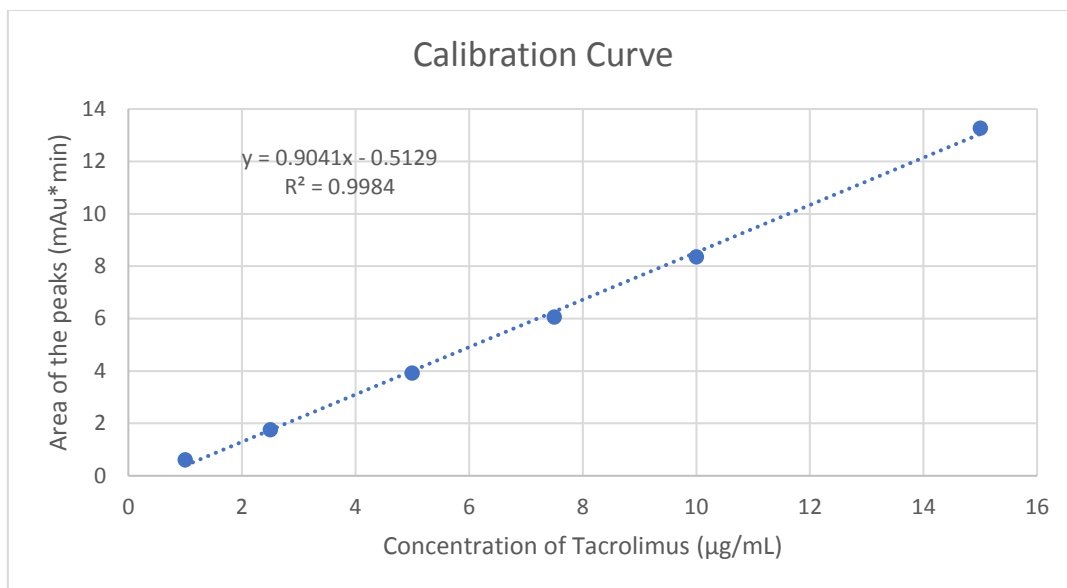


Figure 112: Calibration Curve for alpha-CD

### Annexe 2: Area of the peaks that gave the previous calibration curve

Table 1: Area of the peaks that gave the previous calibration curve for alpha-CD

Concentration of $\alpha$ CD % (w/v)	Area of the peaks (mAu*min)			Average of area	Standard deviation of area	Calculated Concentration of tacrolimus (µg/mL)
0.0%	0.762	0.932	0.446	0.713	0.247	1.356
1.0%	1.807	2.150	1.931	1.963	0.174	2.737
2.5%	5.534	5.783	5.774	5.697	0.141	6.866
5.0%	10.647	10.389	9.164	10.067	0.793	11.698
7.5%	14.086	16.691	16.364	15.714	1.419	17.942
10.0%	11.560	10.112	11.677	11.116	0.872	25.717
12.0%	11.997	12.039	13.439	12.492	0.821	28.759

### Annexe 3: Calibration curve for $\alpha$ -CD for the phase solubility studies

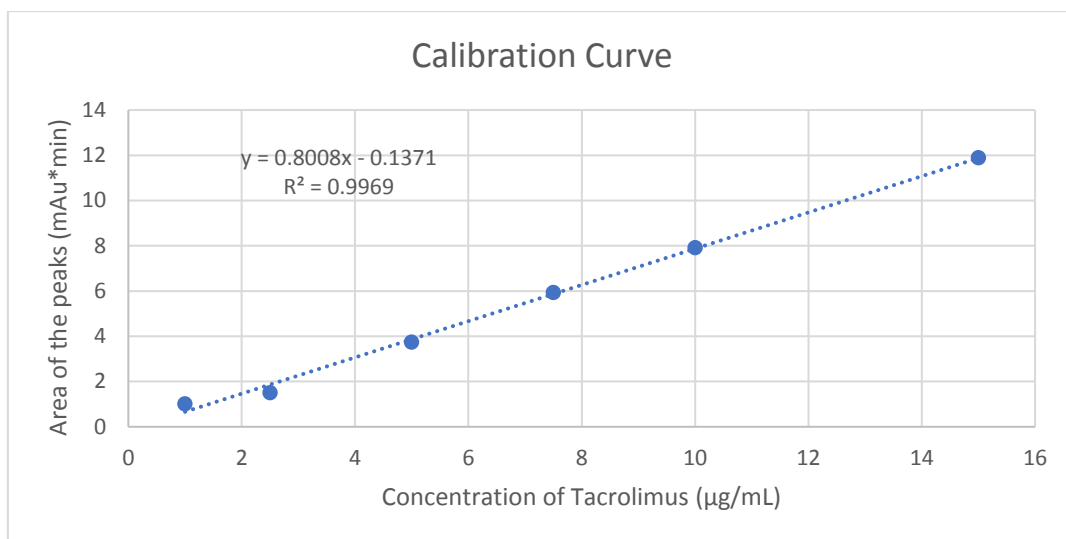


Figure 2: Calibration Curve for HP-gamma-CD

### Annexe 4: Area of the peaks that gave the previous calibration curve

Table 2: Area of the peaks that gave the previous calibration curve for HP-gamma-CD

Concentration of HP $\gamma$ CD % (w/v)	Area of the peaks (mAu*min)			Average of area	Standard deviation of area	Calculated Concentration of tacrolimus ( $\mu$ g/mL)
0.0%	0.493	0.595	0.922	0.670	0.225	0.665
5.0%	2.331	2.101	2.220	2.217	0.115	2.600
15.0%	3.794	3.370		3.569	0.305	7.299
	3.885	3.848				
	3.394	3.122				
25.0%	5.307	5.494		5.603	0.427	12.544
	5.257	5.374				
	6.116	6.072				
30.0%	5.966	6.250		6.009	0.161	13.591
	5.764	5.884				
	6.148	6.043				
35.0%	9.348	9.822		7.645	2.548	17.810
	7.683	9.501				
	4.872	4.647				
45.0%	10.315	10.302		9.175	0.986	21.753
	8.725	8.662				
	8.454	8.590				

### Annexe 5: Calibration curve for RM-beta-CD for the phase solubility studies

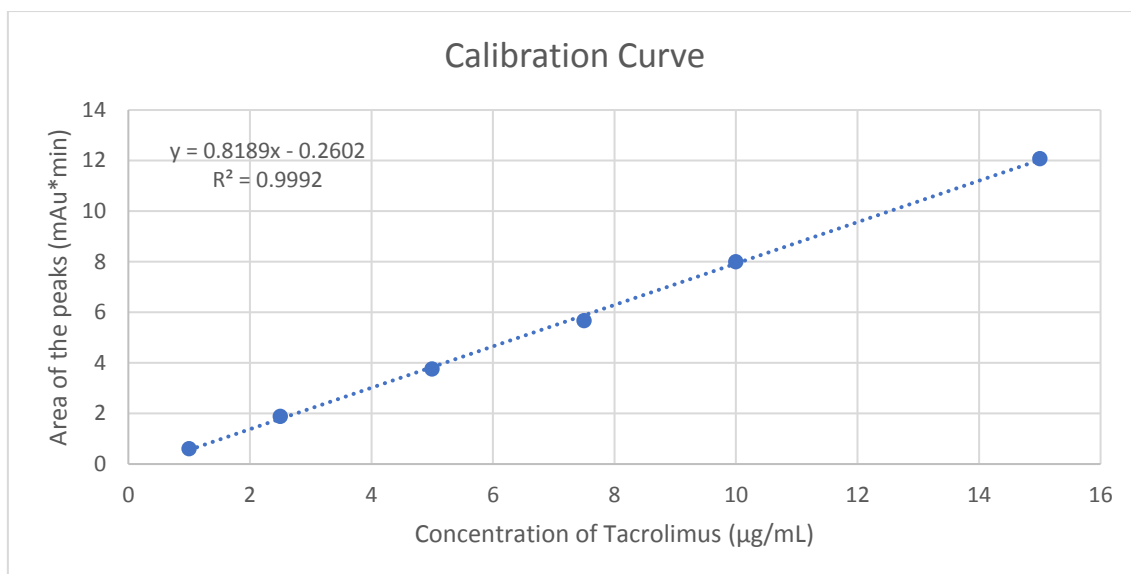


Figure 3: Calibration Curve for RM-beta-CD

### Annexe 6: Calibration curve for RM-beta-CD for the phase solubility studies

Table 3: Area of the peaks that gave the previous calibration curve for RM-beta-CD

Concentration of RM β CD % (w/v)	Area of the peaks (mAu*min)			Average of area	Standard deviation of area	Calculated Concentration of tacrolimus (µg/mL)
0.0%	0.737	0.871	0.887	0.8315	0.0824	1.333
2.5%	2.258	2.383		2.269	0.053	30.885
	2.149	2.393				
	2.273	2.157				
5.0%	5.861	6.083		6.053	0.099	77.089
	6.076	5.970				
	6.231	6.094				
7.5%	6.942	7.204		7.247	0.257	91.674
	7.462	7.622				
	7.061	7.190				
10.0%	6.721	6.456		6.380	0.248	162.172
	5.958	6.254				
	6.335	6.555				
12.5%	8.047	7.842		7.453	0.984	188.383
	8.122	8.067				
	6.240	6.401				
15.0%	9.678	9.641		9.785	0.832	245.336
	8.774	9.274				
	10.533	10.811				

## Annexe 7: Calibration curve for the pH 5

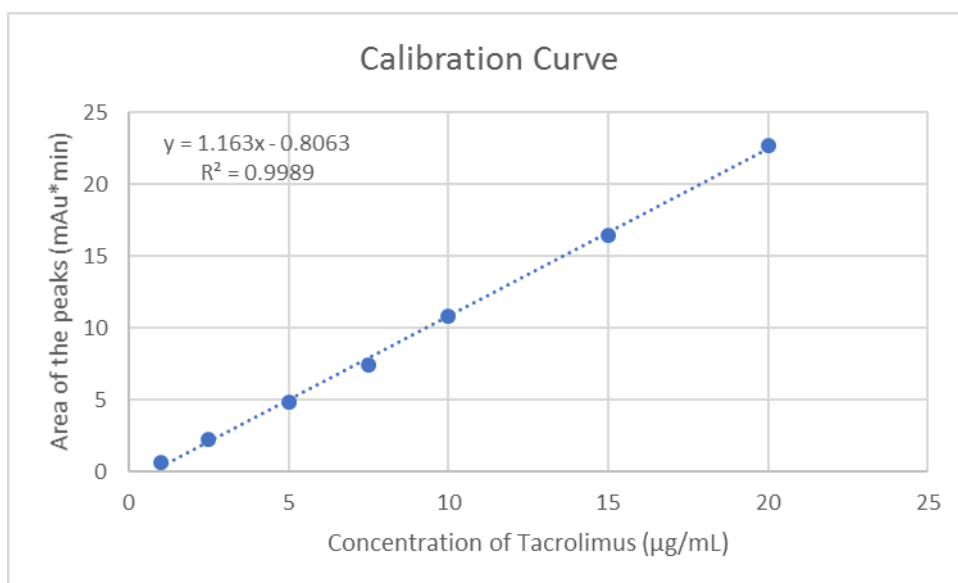


Figure 4: Calibration Curve for pH 5

## Annexe 8: Area of the peaks obtain from UHPLC for the pH 5

Table 4: Area of peaks obtain at this time for the pH 5

Time (h)	Area of the peaks								
	2.5% (w/V) α CD			5% (w/V) α CD			7.5% (w/V) α CD		
2.00	12.760	13.249	12.759	11.985	12.616	12.181	12.379	12.596	12.587
22.50	11.966	11.383	11.317	10.937	11.146	11.516	11.267	11.098	11.467
30.00	10.107	3.792	3.617	9.942	9.907	10.909	10.478	10.006	10.481
48.00	8.173	9.501	7.189	7.635	7.612	9.116	9.268	8.996	9.953
72.00	8.071	6.826	5.875	6103	5.497	8.174	8.112	8.410	9.766
96.00	5.587	5.184	3.616	4.818	5.286	7.551	7.547	6.720	7.649
120.00	4.947	4.829	3.835	2.864	3.656	6.774	6.771	5.451	6.257

## Annexe 9: Average of the area of the peaks used to do the calibration curve for the pH 5

Table 5: Average of the area of the peaks used to do the calibration curve for the pH 5

Time (h)	Average of area of the peaks			Standard Deviation of the area of the peaks		
	2.5% (w/V) $\alpha$ CD	5% (w/V) $\alpha$ CD	7.5% (w/V) $\alpha$ CD	2.5% (w/V) $\alpha$ CD	5% (w/V) $\alpha$ CD	7.5% (w/V) $\alpha$ CD
2.00	12.923	12.261	12.521	0.283	0.323	0.122
22.50	11.556	11.200	11.278	0.357	0.294	0.184
30.00	5.839	10.253	10.324	3.698	0.569	0.276
48.00	8.288	8.121	9.406	1.161	0.862	0.493
72.00	6.924	6.591	8.791	1.101	1.404	0.866
96.00	4.796	5.885	7.305	1.041	1.469	0.510
120.00	4.537	4.431	6.159	0.610	2.067	0.665

## Annexe 10: Calibration curve for the pH 9

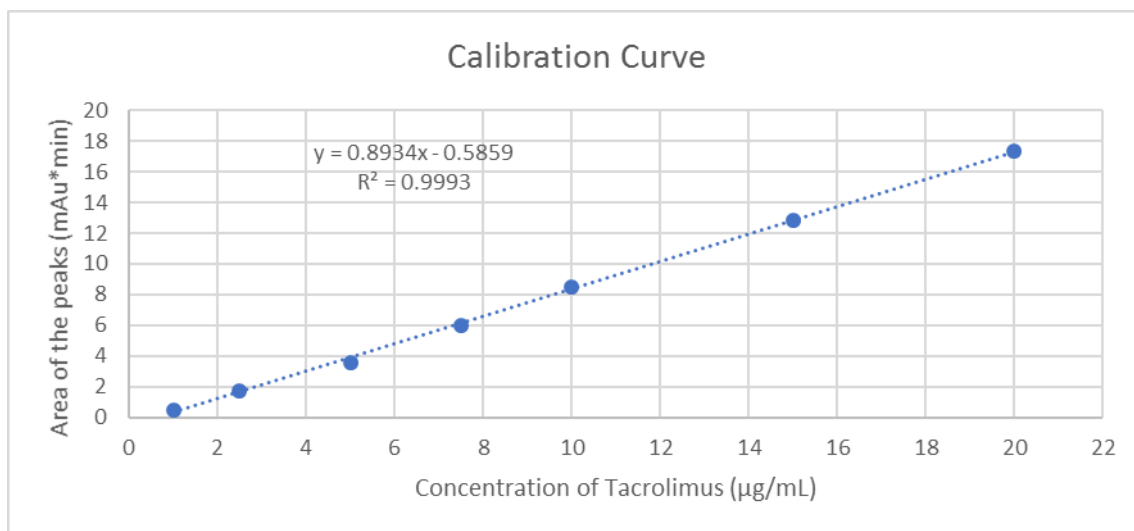


Figure 5: Calibration curve for the pH 9

## Annexe 11: Area of the peaks obtain from UHPLC for the pH 9

Table 6: Area of peaks obtain at this time for the pH 9

Time (h)	Area of the peaks								
	2.5% (w/V) $\alpha$ CD			5% (w/V) $\alpha$ CD			7.5% (w/V) $\alpha$ CD		
<b>0.03</b>	13.661	14.837	14.684	12.141	13.410	13.506	11.656	12.755	13.732
<b>0.08</b>	13.246	13.972	13.520	12.605	12.741	12.740	12.895	12.499	12.529
<b>0.17</b>	11.813	12.200	11.969	11.530	11.290	11.104	11.212	11.137	11.346
<b>0.25</b>	10.420	11.016	10.547	10.716	10.346	10.562	10.587	10.011	10.520
<b>0.33</b>	9.488	10.084	9.496	9.370	9.358	9.252	9.678	9.200	9.821
<b>0.42</b>	8.427	8,747	8.449	8.547	8.249	8.512	8.508	8.220	8.314
<b>0.50</b>	7.573	7.793	7.565	7.660	7.564	7.555	7.252	7.492	7.672

## Annexe 12: Average of the area of the peaks used to do the calibration curve for the pH 9

Table 7: Average of the area of the peaks used to do the calibration curve for the pH 9

Time (h)	Average of area of the peaks			Standard Deviation of the area of the peaks		
	2.5% (w/V) $\alpha$ CD	5% (w/V) $\alpha$ CD	7.5% (w/V) $\alpha$ CD	2.5% (w/V) $\alpha$ CD	5% (w/V) $\alpha$ CD	7.5% (w/V) $\alpha$ CD
<b>2.00</b>	14,3941	13,0190	12,7142	0,6396	0,7619	1,0387
<b>22.50</b>	13,579	12,6954	12,6411	0,3663	0,0779	0,2207
<b>30.00</b>	11,9939	11,3077	11,23147	0,1944	0,2138	0,1055
<b>48.00</b>	10,6610	10,5412	10,3731	0,3138	0,1858	0,3146
<b>72.00</b>	9,6892	9,326633	9,5664	0,3418	0,0652	0,3251
<b>96.00</b>	8,5409	8,4362	8,347233	0,1787	0,1623	0,1469
<b>120.00</b>	7,6437	7,5933	7,471767	0,1292	0,0580	0,2107